

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	
Dan A. STEINBERG	)	Group Art Unit: 2874
Application No: 10/071,871	)	Examiner: Scott A. Knauss
Filed: February 8, 2002	)	Confirmation No: 8729
FOR: METHOD AND DEVICE FOR PASSIVELY LOCATING A FIBER STUB IN A GROOVE WAVEGUIDE FERRULE	) ) )	

## **AMENDMENT AFTER FINAL REJECTION**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In response to the final Official Action issued September 16, 2003, applicant submits the following amendments and remarks.

Amendments to the claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 6 of this paper.

DEC 2 2 2003 PRAGENTATION OF S Docket No. 51963 (ACT-177)

Drage AH 2874.

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dan A. Steinberg

Serial No.:

10/071,871

Group No.: 2874

Filed:

February 8, 2002

Examiner: Scott A. Knauss

For:

METHOD AND DEVICE FOR PASSIVELY LOCATING A FIBER STUB

IN A GROOVE WAVEGUIDE FERRULE

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RESPONSE UNDER
37 C.F.R. 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP

2874

NOTE: To take advantage of the expedited procedure the envelope in which this paper is mailed must be addressed as shown and must also be marked "Box AF" in the lower left hand corner. Alternatively, this paper can be hand carried to the particular Examining Group or other area of the Office in which the application is pending, in which case any envelope in which this paper is placed must be marked as in the bold type box above. Notice of Sept. 20, 1985 (1059 O.G. 19-20).

#### AMENDMENT OR RESPONSE AFTER FINAL REJECTION—TRANSMITTAL

1. Transmitted herewith is an amendment after final rejection (37 C.F.R. 1.116) for this application.

#### CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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Date:	12/16/03		anna M. Rivernider or print name of person certifyin	a)

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Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Amendment or Response After Final Rejection—Transmittal—page 1 of 4)

	Office A	ction, If filed within two of the Advisory Action	to a Final Rejection, the response would best be filed by months, any Advisory Action mailed after the SSP enterposes, but never more than supply (1122 O.G. 571 to 591).	expires will reset the SSP to expire on				
			STATUS					
2.	Applic [ ] [X]	ant is a small entity. A s [ ] is attache [ ] was alrea other than a small	d. dy filed.					
			EXTENSION OF TERM					
NOTE: As to a Supplemental Amendment filed in response to a final office action, the Notice of December 10, 19, 34-35) states:								
		and/or entry of a Not shortened statutory pe	has been filed after a Final Office Action, an extensi tice of Appeal or filing and/or entry of an addition criod unless the timely-filed response placed the appl Appeal has been filed within the shortened statutory p	al amendment after expiration of the ication in condition for allowance. Of				
3.	(compl	lete (a) or (b), as ap	plicable)					
	(a) [ ] Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:							
		Extension (months)	Fee for other than small entity	Fee for small entity				
	[]	one month	\$110.00	\$55.00				
	[]	two months	\$420.00	\$210.00				
	[]	three months four months	\$950.00 \$1,480.00	\$475.00 \$1,005.00				
		Fee: \$						
If addi	tional ex	tension of time is re	equired, please consider this a petition there	efor.				
		(che	ck and complete the next item, if applicable	)				
	nd the fee paid therefor of total months of extension now							
		Extension	fee due with this request \$					

(Amendment or Response After Final Rejection—Transmittal—page 2 of 4)

NOTE: Response to Final Rejection—Avoiding Extension Fees "In patent applications wherein a three month Shortened Statutory

Applicant believes that no extension of term is required. However, this conditional (b) [X] petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## FEE FOR CLAIMS

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below: 4.

				SMALL ENTITY			OTHER THAN A SMALL ENTITY		
	Claims Remaining After Amendmen		Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	OR	Rate	Addit Fee
Total	*	Minus	**	=	x \$9 =	\$		x \$18 =	\$
Indep.	*	Minus	***	=	x \$40 =	\$		x \$80 =	\$
[ ] Firs	t Presentation	on of Mu	ltiple Depender	nt Claim	+ \$135 =	\$	<u></u>	+ \$270 =	\$
					Total Addit. Fee	\$	OR	Total Addit. Fee	\$

It the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** See 37 C.F.R. § 1.116.

(complete (c) or (d), as applicable)

(c) [X] No additional fee is required.

OR

(d) [] Total additional fee required is \$\_\_\_\_\_.

### **FEE PAYMENT**

5.	[]	Attached is a check in the sum of \$				
	[X]	Charge Account No	04-1105	the sum of \$		
		A duplicate of this trai	ached.			

If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

#### **FEE DEFICIENCY**

- NOTE: Where there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the case. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).
- 6. [X] If any additional extension and/or fee is required, charge Account No. <u>04-1105</u>.

#### AND/OR

[X] If any additional fee for claims is required, charge Account No. <u>04-1105</u>

Reg. No. 39,499

Tel. No. (508) 787-4766

SIGNATURE OF PRACTITIONER

Jonathan D. Baskin

(type or print name of practitioner)

EDWARDS & ANGELL, LLP

P.O. Box 9169

P.O. Address

Boston, Massachusetts 02110